

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Martin GLOS

Examiner:

Application No.:

Group No.:

Filed: 05/22/2006

For:

METHOD FOR THE ESTERIFICATION OF ALCOHOLS WITH
OLEFINICALLY UNSATURATED CARBOXYLIC ACIDS

Commissioner for Patent
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the duty of disclosure provisions of 37 CFR §1.56, there is hereby provided certain information which the Examiner may consider material to the examination of the subject U.S. patent application. It is requested that the Examiner make this information of record if it is deemed material to the examination of the subject application. No admission is made that the information cited in this Statement is, or is considered to be, material to patentability nor a representation that a search has been made (other than search report(s) from a counterpart foreign application or a PCT International Search Report, if submitted herewith).

1. ☒ Enclosures accompanying this Information Disclosure Statement are:
 - 1a. ☒ Form PTO-1449 (PTO/SB/08a and/or PTO/SB/08b).
 - 1b. ☒ Copies of Information Disclosure Statement citations.
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 - 1d. ☐ Copies of Co-pending U.S. Applications.
2. ☒ This Information Disclosure Statement is filed under 37 CFR §1.97(b) with the filing of the U.S. patent application. Accordingly, no fee or §1.97(e) Statement is required.
3. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(b) before the latter of three months after the U.S. patent application filing date or the first Office Action on the merits. Accordingly, no fee or §1.97(e) Statement is required.
4. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(c) after the first Office Action on the merits, but before a Final Office Action or a Notice of Allowance. The \$180.00 fee set forth in 37 CFR §1.17(p) in accordance with 37 CFR §1.97(c) is to be charged to Deposit Account No. 03-2060.
5. ☐ This Information Disclosure Statement is filed under 37 CFR §1.97(e) in that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. Accordingly, no fee is required.
6. ☐ This Information Disclosure Statement is filed in conjunction with a Request For Continued Examination. Accordingly, no fee or §1.97(e) Statement is required.
7. ☒ The English language documents identified by reference in the TRANSLATION Section of the foreign patents list of the attached 1449 Form are believed to be a concise statement, or the equivalent or substantial equivalent to the foreign language documents cited in the attached 1449 Form.
8. ☐ In addition to the documents cited, the Office's attention is directed to the following co-pending U.S. Application Serial Number(s), copies of which are attached for the convenience of the Office:

Respectfully submitted,


Richard P. Silverman, Registration No. 36,277

CUSTOMER NO. 25,255

Clariant Corporation, Industrial Property Department, 4000 Monroe Road, Charlotte, North Carolina 28205
Telephone: 704/331-7156, Facsimile: 704/331-7707

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number	
Filing Date	05/22/2006
First Named Inventor	MARTIN GLOS
Art Unit	
Examiner Name	
Attorney Docket Number	2003DE448

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 5,872,252	02/16/1999	SUTORIS	
	AB	US- 6,040,473	03/21/2000	KNEBEL	
	AC	US- 6,818,791	11/16/2004	MARTIN	
	AD	US- 6,872,848	03/29/2005	KRONER	
	AE	US- 20,010,023,302	09/20/2001	IWAKURA	
	AF	US- 20,020,193,624	12/19/2002	ZIRNSTEIN	
	AG	US- 20,040,168,903	09/02/2004	GEISENDORFER	
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			
	AM	US-			
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	AO	US-			
	AP	US-			
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
	BA	DE100 36 879	09/20/2001	BASF	See CA page 2	<input type="checkbox"/>
	BB	JP 2003-313153	11/06/2003	TOKUYAMA	See CB page 2	<input type="checkbox"/>
	BC					<input type="checkbox"/>
	BD					<input type="checkbox"/>
	BE					<input type="checkbox"/>

Examiner Signature		Date Considered	
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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
		Application Number	
		Filing Date	05/22/2006
		First Named Inventor	Martin GLOS
		Art Unit	
Examiner Name			
Sheet 2	of 2	Attorney Docket Number	2003DE448

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	English language abstract of DE 100 36 879, 09/20/2001	<input type="checkbox"/>
	CB	English Language Machine Translation of JP 2003-313153, 11/06/2003	<input type="checkbox"/>
	CC	PCT Search Report PCT/EP2004/012790, mailed 04/28/2005	<input type="checkbox"/>
	CD		<input type="checkbox"/>
	CE		<input type="checkbox"/>
	CF		<input type="checkbox"/>
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